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PATENT
Attorney Docket No.: 020699-000310US
Client Ref. No.: 50P3896

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

G. Scott Smith, et al.

Application No.: 09/898,319

Filed: July 2, 2001

For: STORAGE AND RETRIEVAL OF
ENCRYPTED CONTENT ON
STORAGE MEDIA

Examiner: Unassigned

Art Unit: 2131

JOINDER OF NON-SIGNING INVENTOR

Box DAC
Assistant Commissioner for Patents
Washington, D.C. 20231

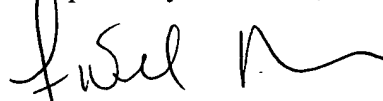
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Sir:

Applicant respectfully requests that the attached Declaration executed by inventor Jose Diaz be made of record in the above-referenced application. A copy of the executed Assignment is also attached. The original executed Assignment is being filed today, July 3, 2002, with the Assignment Branch of the USPTO.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned.

Respectfully submitted,



Fidel D. Nwamu
Reg. No. 46,294

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 415-576-0200
Fax: (415) 576-0300
FDN:gsh
SF 1362123 v1

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

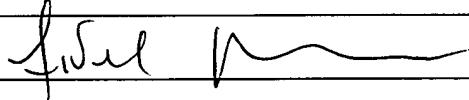
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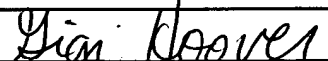
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/898,319	
	Filing Date	July 2, 2001	
	First Named Inventor	Smith, G. Scott	
	Group Art Unit	2131	
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	020699-000310US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Joinder of Non-Signing Inventor; Declaration; copy of Assignment and Recordation Cover Sheet; Return Postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		OFFICE OF PETITIONS
Firm and Individual name	Townsend and Townsend and Crew LLP Fidel D. Nwamu	Reg. No. 46,294
Signature		
Date	July 3, 2002	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:		
		July 3, 2002
Typed or printed name	Gigi Hoover	
Signature		Date July 3, 2002

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SF 1362137 v1

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention STORAGE AND RETRIEVAL OF ENCRYPTED CONTENT ON
STORAGE MEDIA

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 09/898,319 filed on July 2, 2001
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

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FULL NAME OF INVENTOR(S)

Inventor 1 G. Scott Smith Date: _____

Signature: _____ Citizen of: United States

Inventor 2 Jose Diaz Date: 6/27/02

Signature: Jose Diaz Citizen of: United States

Inventor 3 _____ Date: _____

Signature: _____ Citizen of: _____

Inventor 4 _____ Date: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on form(s) attached hereto.

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **STORAGE AND RETRIEVAL OF ENCRYPTED CONTENT ON A STORAGE MEDIA** the specification of which X is attached hereto or was filed on as Application No. and was amended on (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/224,619	August 11, 2000

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

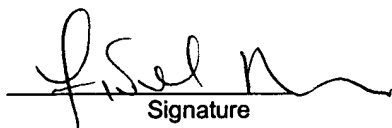
Full Name of Inventor 1:	Last Name: SMITH	First Name: G.	Middle Name or Initial: SCOTT	
Residence & Citizenship:	City: San Jose	State/Foreign Country: California	Country of Citizenship: U.S.	
Post Office Address:	Post Office Address: 5648 Enning Avenue	City: San Jose	State/Country: California	Postal Code: 95123
Full Name of Inventor 2:	Last Name: DIAZ	First Name: JOSE	Middle Name or Initial:	
Residence & Citizenship:	City: San Jose	State/Foreign Country: California	Country of Citizenship: U.S.	
Post Office Address:	Post Office Address: 5328 Beachgrove Court	City: San Jose	State/Country: California	Postal Code: 95123

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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 <u>G. Scott Smith</u> G. Scott Smith	Signature of Inventor 2 _____ Jose Diaz
Date <u>21 May 2001</u>	Date

SF 1220101 v1

Form PTO-1595 (Rev. 03-01) OMB No. 0651-0027 (exp. 5/31/2002)		Recordation Form Cover Sheet PATENTS ONLY		U.S. Department of Commerce U.S. Patent and Trademark Office	
Tab settings >>>▼▼▼▼▼▼▼▼▼▼					
To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof					
1. Name of conveying party(ies): G. Scott Smith		2. Name and address of receiving party(ies) Name: <u>Sony Corporation</u> Internal Address: _____ Street Address: <u>7-35 Kitashinagawa 6-Chome, Shinagawa-Ku</u> City: <u>Tokyo</u> Country: <u>Japan</u> ZIP: _____			
Additional name(s) of conveying parties attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No.		Additional names and addresses attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____					
Execution Date: <u>June 27, 2002</u>					
4. Application number(s) or patent number(s). If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No(s): <u>09/898,319</u> B. Patent No(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Fidel D. Nwamu</u> <u>TOWNSEND AND TOWNSEND AND CREW LLP</u> <u>Two Embarcadero Center, 8th Floor</u> <u>San Francisco, California 94111-3834</u> <u>(415) 576-0200</u>		6. Total number of applications and patents involved <u>1</u> 7. Total fee (37 CFR 3.41): _____\$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>20-1430</u> (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i> <div style="display: flex; justify-content: space-between;"><div>Fidel D. Nwamu Name of Person Signing Atty. Reg. No. 46,294</div><div> Signature</div><div><u>July 3, 2002</u> Date</div></div>					

Total number of pages including cover sheet, attachments and document 4

Mail documents to be recorded with required cover to:
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Washington, D.C. 20231

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1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Jose Diaz

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

Sony Electronics, Inc.
16450 West Bernardo Drive, MZ 7190
San Diego, CA 92127

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, G. Scott Smith of 5648 Enning Avenue, San Jose, CA 95123; Jose Diaz of 5328 Beachgrove Court, San José, CA 95123; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: Storage and Retrieval of Encrypted Content on Storage Media

Date(s) of execution of Declaration: June 27, 2002

Filing Date: July 2, 2001

Application No.: 09/898,319; and

WHEREAS, Sony Corporation, a corporation of Japan, located at 7-35 Kitashinagawa 6-Chome-Shinagawa-Ku, Tokyo, Japan and Sony Electronics Inc., a corporation of the United States, located at 16450 West Bernardo Drive, MZ 7190, , San Diego, CA, 92127, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: _____
G. Scott Smith

STATE OF CALIFORNIA)
)
COUNTY OF) ss.

On _____, before me, _____,
personally appeared G. Scott Smith, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

Dated: 6/27/02 _____
Jose Diaz
Jose Diaz

STATE OF CALIFORNIA)
)
COUNTY OF) ss.

On _____, before me, _____,
personally appeared Jose Diaz, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

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